PTO/SB/21 (05-03)

Approved for use through 04/30/2003. OMB 0651-0031 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Under the Paper Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. Application Number 10/657.522 TRANSMITTAL Filing Date Sep 8, 2003 **FORM** First Named Inventor Silver, William (to be used for all correspondence after initial filing) Art Unit 2621 **Examiner Name** Mariam, Daniel G. Attorney Docket Number Total Number of Pages in This Submission C97-050\_CON2 **ENCLOSURES** (check all that apply) After Allowance communication to Fee Transmittal Form Drawing(s) Appeal Communication to Board of Fee Attached Licensing-related Papers Appeals and Interferences Appeal Communication to Group Amendment / Reply Petition (Appeal Notice, Brief, Reply Brief) Petition to Convert to a After Final Proprietary Information Provisional Application Power of Attorney, Revocation Affidavits/declaration(s) Status Letter Change of Correspondence Address Other Enclosure(s) (please Χ Extension of Time Request Terminal Disclaimer identify below): **Express Abandonment Request** Request for Refund Postcard Copies of Cited References Х Information Disclosure Statement CD, Number of CD(s) Remarks Certified Copy of Priority Document(s) Response to Missing Parts/ Incomplete Application Response to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm Cognex Corporation - Arthur J. O'Dea, Reg. No. 42,952 Individual name Signature Date November 10, 2003 **CERTIFICATE OF TRANSMISSION/MAILING** I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.P. Box 1450, Alexandria, VA 22313-1450 on the date shown below. Type or printed Cheryl Sloane Signature The Date November 10, 2003

This collection of information is required by 37 of R 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

for form 1449A/PTO

**→**[+]

Approved for use through 10/31/2002. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number

RMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet 1 of 3

spond to a collection of information unli	ess it displays a valid OMB control number.			
Complete if Known				
Application Number	10/657,522			
Filing Date	9/8/2003			
First Named Inventor	Silver et al.			
Group Art Unit	2621			
Examiner Name	D. Mariam			
Attorney Docket Number	C97-050 CON2			

				U.S. PATENT DOCUMEN	TS	
Examiner Initials*	Cite No.1	U.S. Patent Doo	ument Kind Code <sup>2</sup> (if known)	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	. ]	3,069,654		Hough et al.	12-18-1962	
		3,986,007		Ruoff, Jr.	10-12-1976	
		4,146,924		Birk et al.	03-27-1979	
		4,581,762		Lapidus et al.	04-08-1986	
		4,618,989		Tsukune et al.	10-21-1986	
		4,707,647		Coldren et al.	11-17-1987	
		4,972,359		Silver et al.	11-20-1990	
		5,245,674	***	Cass et al.	09-14-1993	-
		5,343,390		Doi et al.	08-30-1994	
		5,351,310		Califano et al.	09-27-1994	
		5,515,453		Hennessey et al.	05-07-1996	
		5,694,482		Maali et al.	12-02-1997	
		5,703,960		Soest	12-30-1997	
· <del>· ·</del> ,		5,828,769		Burns	10-27-1998	
				•		
						* ***
	1					, · · ·

				FO	REIGN	PATENT DOCUMENTS			
Examiner			Foreign Patent Docu			Name of Patentee or Applicant of	Date of Publication of	Pages, Columns, Lines,	T
Initials*	Cite No.1	Office <sup>3</sup>	Number⁴	Kind C (if kno		Cited Document	Cited Document MM-DD-YYYY	Where Relevant Passages or Relevant Figures Appear	T⁵
								-	
	1								
	1				-				
									1
									T
									T

Examiner	D	Date		
Signature	C	Considered		_

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered, Include copy of this form with next communication to applicant.

<sup>&</sup>lt;sup>1</sup>Unique citation designation number. <sup>2</sup>See attached Kinds of U.S. Patent Documents. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.

Sheet

of

PTO/SB/08A (08-00)

PE	oca ()	a plus sign (+) inside this bo		→ +	U.S. Patent and Tradema	PTO/SB/08A (08-00 ed for use through 10/31/2002. OMB 0651-003 rk Office; U.S. DEPARTMENT OF COMMERC n unless it displays a valid OMB control numbe
,	Substitu	ite for form 1449B/PT	О.		Comp	lete if Known
VT & TO	O ENGL				Application Number	10/657,522
	INF	ORMATION I	DIS	CLOSURE	Filing Date	9/8/2003
	STA	TEMENT BY	/ Al	PPLICANT	First Named Inventor	Silver, et al.
					Group Art Unit	2621
		(use as many sheet	s as r	necessary)	Examiner Name	D. Mariam
	Sheet	2	of	3	Attorney Docket Number	C97-050 CON

Attorney Docket Number | C97-050 CON

OTHER PRIC	OR ART -	NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
		COGNEX CORPORATION, "Description Sobel Search," Natick, MA USA, 1998 but public before the above-referenced filing date.	
		COGNEX CORPORATION, "Chapter 7 CONLPAS," Cognex 3000/4000/5000 Programmable Vision Engines, Vision Tools, 1996, pp. 307-340, Revision 7.4 590-0136, Natick, MA USA	
		HU, YU HEN, "CORDIC-Based VLSI Architectures for Digital Signal Processing," IEE Signal Processing Magazine, July 1992, pp. 16-35, 1053-5888/92, USA	
		HU, et al, "Expanding the Range of Convergence of the CORDIC Algorithm," IEEE Transactions on computers, January 1991, pp. 13-21, Vol. 40, No. 1, USA	
		Ballard, D.H., "Generalizing the Hough Transform to Detect Arbitrary Shapes," Pattern Recognition, 1981, pp. 111-122, Vol. 13, No. 2, Pergaman Press Ltd., UK	
		LIN, et al., "On-Line CORDIC Algorithms," IEEE Transactions on Computers, pp. 1038-1052, Vol. 39, No. 8, USA	
		WALLACK, AARON SAMUEL, "Chapter 4 Robust Algorithms for Object Localization," Algorithms and Techniques for Manugacturing, 1995, pp. 97-148 (and Bibliography pp. 324-335) PhD thesis, Unversity of California at Berkeley, USA	
		JAMES D. FOLEY, ANDRIES VAN DAM, STEVEN K. FEINER, JOHN F. HUGHES, Second Edition in C, Introduction to Computer Graphics, pp. 36-49, Addison-Wesley Publishing Company, 1994, USA	
		LISA GOTTESFELD BROWN, <u>A Survey of Image Registration Techniques</u> , Department of Computer Science, Columbia University, New York, NY 10027, ACM Computing Surveys, Vol. 24, No. 4, December 1992	
*		GUNILLA BORGEFORS, <u>Hierarchical Chamfer Matching</u> : A Parametric Edge Matching Algorithm, IEEE Transactions on Pattern Analysis and Machine Intelligence, Vol. 10, No. 6, November 1988	

Examiner	Date	•
Signature	 Considered	

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>&</sup>lt;sup>1</sup>Unique citation designation number. <sup>2</sup>Applicant is to place a check mark here if English language Translation is attached.

PTO/SB/08A (08-00)

Approved for use through 10/31/2002. OMB 0651-0031 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

Substitute for form 1449B/PTO

3

Sheet

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

of

3

Complete if Known				
<b>Application Number</b>	10/657,522			
Filing Date	9/8/2003			
First Named Inventor	Silver et al.			
Group Art Unit	2621			
Examiner Name	D. Mariam			
Attorney Docket Number	C97-050_CON2			

OTHER PRIOR	R ART -	NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
-		DANIEL P. HUTTENLOCHER AND WILLIAM J. RUCKLIDGE, A Multi-Resolution	
		Technique for Comparing Images Using the Hausdorff Distance, Department of	
		Computer Science, Cornell University, Ithaca, NY 14853,	
		I.J. COX AND J.B. KRUSKAL (AT&T Bell Laboratories, Murray Hill, NJ), On the Congruence of Noisy Images to Line Segment Models, IEEE, 1988	
		DANIEL P. HUTTENLOCHER, GREGORY A. KLANDERMAN AND WILLIAM J. RUCKLIDGE, Comparing Images Unsing the Hausdorff Distance, IEEE Transaction on Pattern Analysis and Machine Intelligence, Vo. 15, No. 9, September 1993	
-		AKINORI KAWAMURA, KOJI YURA, TATSUYA HAYAMA, YUTAKA HIDAI, TADATASHI MINAMIKAWA, AKIO TANAKA AND SHOICHI MASUDA, On-line Recognition of Freely Handwritten Japanese Characters Using Directional Features	
		Densities, IEEE 1992	-

Examiner	Date	
Signature	Considered	

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>&</sup>lt;sup>1</sup>Unique citation designation number. <sup>2</sup>Applicant is to place a check mark here if English language Translation is attached.

## IM 1 2 DE Appli

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Silver et al.

Serial No.: 10/657,522

Filed:

9/8/2003

Group Art Unit:

2621

Examiner:

Title: Fast High-Accuracy Multi-

**Dimensional Pattern Inspection** 

under 37 CFR 1.97(b), or

Mariam, Daniel G.

Attorney Docket No.: C97-050\_CON2

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

## INFORMATION DISCLOSURE STATEMENT

Dear Sir:

This Information Disclosure Statement is submitted:

 (Within three months of filing national application; or date of entry of international application; or before mailing date of first office action on the merits; whichever occurs last)
 under 37 CFR 1.97(c) together with either a:  Statement under 37 CFR 1.97(e), or  a \$180.00 fee under 37 CFR 1.17(p), or  (After the CFR 1.97(b) time period, but before final action or notice of allowance, whichever occurs first)
 under 37 CFR 1.97(d) together with a:  Statement under 37 CFR 1.97(e), and a \$180.00 fee set forth in 37 CFR 1.17(p).  (Filed after final action or notice of allowance, whichever occurs first, but before payment of the issue fee)

X Applicant(s) submit herewith Form PTO 1449-Information Disclosure Citation together with copies, of patents, publications or other information of which applicant(s) are aware, which applicant(s) believe(s) may be material to the examination of this application and for which there may be a duty to disclose in accordance with 37 CFR 1.56.

The relevance of the attached references is that this is the closest art of which Applicant is aware.

Applicant submits that the above references taken alone or in combination neither anticipate nor render obvious the present invention. Consideration of the foregoing in relation to this application is respectfully requested.

It is requested that the information disclosed herein be made of record in this application.

Respectfully submitted,

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage for first class mail in an envelope addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, or the correspondence is being facsimile transmitted to the USPTO, on the date indicated below.

Date of Deposit: 11/10/03

Typed Name: Cheryl Sloane

.. . [

Arthur J. O'Dea

Attorney/Agent for Applicant(s)

Reg. No. 42,952

**Customer Number 23459** 

Date: November  $\nabla$ , 2003

Telephone No.: (508) 650-3108